



## EHS Compliance

Compliance with all environmental, health and safety laws and our internal EHS Standards is the foundation of our EHS program. Pfizer is dedicated to maintaining full EHS compliance, and when potential non-compliance is identified, prompt corrective action is expected. Internal EHS Standards and routine internal EHS audits assist in maintaining compliance and providing a strong driver for continuous improvement.

Non-compliance EHS Events Resulting in Fines / Penalties Paid		
Year Paid	Number	Total Paid (USD)
2018	2	\$20,500
2017	3	\$34,700
2016	4	\$202,400
2015	4	\$3,100
<b>TOTAL</b>	<b>13</b>	<b>\$260,700</b>

- Fines/Penalties are rounded up to the next \$100
- Fines/Penalties paid – currency equivalent in USD. Ex-U.S. fines/penalties converted to USD using Federal Reserve historic currency conversion data for date of resolution
- The table above discloses non-compliance events resulting in fines/penalties that were paid in the reporting year.

### PERFORMANCE:

As indicated in the table above, Pfizer paid penalties to address matters in which non-compliance with EHS laws was alleged. Of these penalties, since 2015, one civil penalty > \$100,000—concerning alleged Clean Air Act violations at the Barceloneta, Puerto Rico facility.

- In 2016, Pfizer Pharmaceuticals LLC (PPLLC) paid a civil penalty of \$190,000 to settle allegations by the United States Environmental Protection Agency (U.S. EPA) that, at certain times in the past, the Barceloneta facility was required under the Clean Air Act to file a risk management plan with the U.S. EPA and did not.